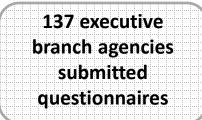


### OVERVIEW

Each year, executive branch agencies submit to OGE responses to an Annual Agency Ethics Program Questionnaire (questionnaire).

The questionnaire asks for information about ethics officials and the administration of agency ethics programs. It also asks for information about core elements of the ethics program that assist in the identification and resolution of potential conflicts of interest.

The compiled data provides valuable insights about the executive branch ethics program.



## **ROLES & RESPONSIBILITIES**

OGE is the supervising ethics office for the executive branch.

Each agency appoints ethics officials to provide employees assistance in identifying and resolving potential conflicts of interests.

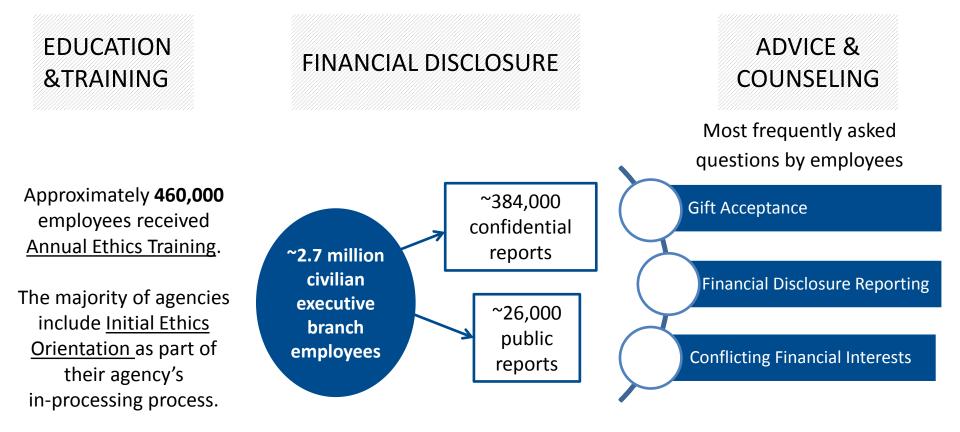
Ultimately, it is the responsibility of each employee to abide by the ethics rules and <u>standards of</u> <u>conduct</u>. Ethics Officials (~4500\*)

OGE

### Executive Branch Employees (~2.7M)

\*includes only those individuals who performed ethics duties 2 or more hours per week

#### TOOLS TO IDENTIFY AND RESOLVE POTENTIAL CONFLICTS OF INTEREST



Recusal is the most often used method to remedy a conflict of interest.

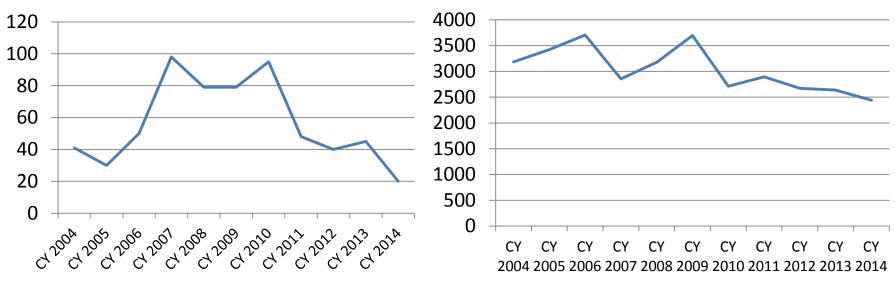
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## ENFORCEMENT

OGE's mission is one of prevention. OGE does not adjudicate complaints, investigate matters within the jurisdiction of Inspectors General and other authorities, or prosecute ethics violations. For more information about enforcement, click <u>here.</u>

# of disciplinary actions\* taken based wholly or in part upon violations of the criminal conflict of interest statutes, 18 USC 203, 205, 207, 208 and 209 in 2014.

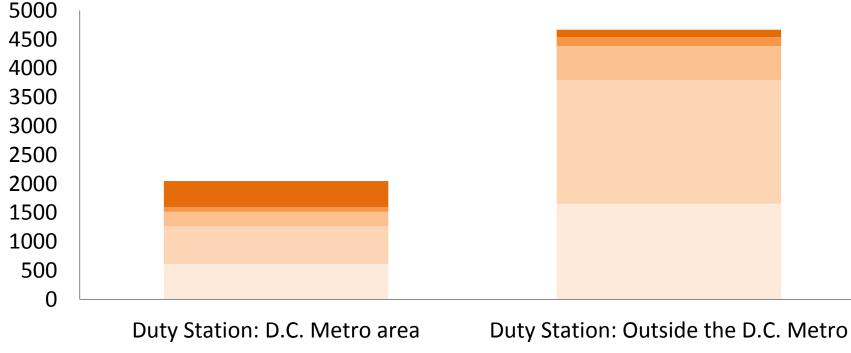
# of disciplinary actions\* taken based wholly or in part upon violations of the standards of conduct provisions (Part 2635) in 2014.



\*Disciplinary Actions include removals, demotions, suspensions, and written reprimands or their equivalents.

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# How many employees performed ethics program duties in CY14?



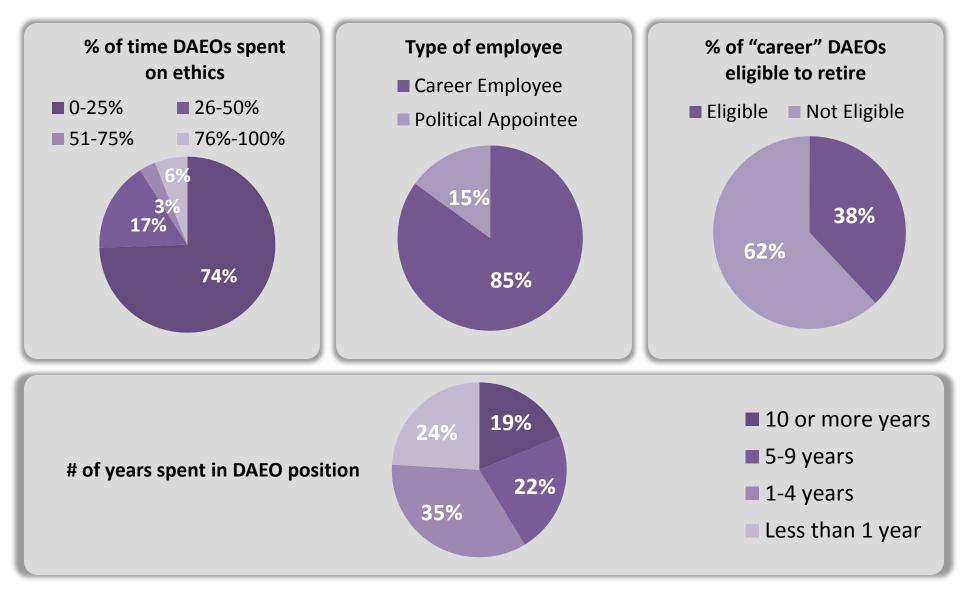
area

Less than 1 hour per week

- 11-20 hours per week
- 31-40 hours per week

2-10 hours per week21-30 hours per week

Designated Agency Ethics Official = the most senior ethics official at an agency



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